BLB&G Partner Jim Harrod Speaks at PLI Program "Securities Offerings 2026: A Public Offering from Start to Finish"

November 23, 2026

BLB&G Partner Jim Harrod spoke on a panel titled "Ethics, Due Diligence and the Offering Process" as part of Practising Law Institute's "Securities Offerings 2026: A Public Offering from Start to Finish" program on November 16. Jim examined the ethical considerations surrounding due diligence, securities offerings, and underwriting. He also addressed liability provisions and remedies under the Securities Act, discussed the role of participants in the offering process, and highlighted potential ethical issues in the underwriting process.

Jim practices securities litigation at BLB&G's New York office, representing institutional investors in securities fraud-related matters. He was a leader of the BLB&G litigation team that recovered over $2 billion for 35 institutions that invested in the Allianz Structured Alpha Funds, and he played key roles in significant cases against General Motors ($300 million recovery) and Motorola ($190 million recovery), among others. Jim also leads the firm's Global Securities and Litigation Monitoring Team and frequently speaks to public pension fund organizations and trustees about fiduciary duties, emerging securities litigation issues, and financial markets.

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