

# Portfolio Monitoring and Case Evaluation

## A Sophisticated Approach to Protecting Your Investments

BLB&G's portfolio monitoring and case evaluation services are the most finely tuned and effective in the industry. Our dedicated team of specialized attorneys, financial analysts, and private investigators uses state-of-the-art technology, advanced investigative methods, and deep market knowledge to help clients identify fraud and misconduct that has impacted their investment portfolios.

We perform this work entirely in-house—at no cost to our clients—ensuring the highest standards of cybersecurity and data privacy. We never outsource critical tasks, so you can be confident that your data is safe and handled according to the most stringent protocols.

## In-House Investigators and Financial Analysts

### *Investigations Unit*

Led by Managing Director of Investigations [Amy Bitkower](#), a certified fraud examiner and former investigator for the Manhattan District Attorney, our team of specialized investigators interviews former employees and other witnesses to develop the facts necessary to fully evaluate the conduct at issue and to prepare a detailed complaint. They routinely develop factual evidence that corroborates fraud allegations and helps our cases survive motions to dismiss.

### *Financial Analysis Unit*

Directed by Managing Director of Financial Analysis [Nicholas DeFilippis](#) and Managing Director of Institutional Investor Services [Adam Weinschel](#), our financial analysts match every client holding against filed and anticipated shareholder actions, calculate damages with precision, and model potential recoveries. They have backgrounds in economics, forensic accounting, and institutional portfolio management.

## Comprehensive, In-Depth Analysis

We take a selective, deliberative approach to case identification and evaluation. Our team conducts thorough investigations to assess:

- Damages and loss causation to determine financial harm
- The misconduct at issue by scrutinizing defendants' actions
- Applicable law and legal standing for potential claims
- Insider trading proceeds and other red flags that indicate fraud or malfeasance

This rigorous process guarantees that we pursue only those matters that align with each client's litigation preferences, risk tolerance, and strategic goals. We ensure that clients receive the best legal advice regarding potential litigation, including when *not* to pursue litigation.

## Identifying Claims Others Miss

Our practice identifies all potential claims, regardless of the types of securities involved or where the misconduct occurred, U.S. or otherwise. We have a track record of finding and successfully prosecuting major actions that other law firms overlooked, including:

- Breach-of-fiduciary-duty claims against [Allianz](#) that resulted in over \$2 billion in recoveries for investors.
- Claims involving bonds and preferred securities in the wake of the 2008 financial crisis, securing \$1.5 billion in recoveries.

With the combined strength of our robust investigative capabilities, expert financial analysis, and proprietary PortfolioWatch system, we ensure our clients do not miss key opportunities to secure financial recoveries.

### **Powered by PortfolioWatch**

Our proprietary online platform, PortfolioWatch, provides a secure portal where you can:

- Review current and settled claims in the United States and globally
- Obtain real-time, estimated loss calculations specific to your holdings and trade history
- Monitor pending settlements to avoid missing important deadlines
- Access all electronic court records from filed securities class actions
- Generate customized reports prepared by BLB&G's PortfolioWatch team for your fund's unique needs

PortfolioWatch is constantly updated to reflect the latest security protocols, ensuring your data remains protected while you make timely, informed decisions.

[Request a demo of PortfolioWatch.](#)

### **Keeping You Informed and in Control**

Many of the world's most respected institutional investors rely on BLB&G to monitor and litigate their securities fraud claims and other shareholder actions. We believe in:

- *Transparent Communication:* You receive regular updates on potential claims, our findings, and case developments.
- *Client-Led Strategy:* You remain in control of strategic decisions. We consult with you at every stage, ensuring alignment with your litigation policies and broader organizational objectives.
- *No-Cost Service:* There is no charge for our portfolio monitoring and case evaluation services. Further, all litigation services are performed on a contingency fee basis, the terms of which would be based on the circumstances of each individual case.

### **Identifying Corporate Governance and Shareholder Rights Claims**

Our team also identifies opportunities for clients to pursue corporate governance and shareholder rights claims, particularly when corporate officers, directors, or controlling shareholders breach their fiduciary duties. We focus on cases involving self-dealing transactions, measures that undermine shareholder voting rights, and unfair mergers and acquisitions.

We also identify inadequate board supervision causing harm to companies, such as violations related to the distribution of prescription opioids, unlawful pharmaceutical marketing, corporate bribery, sexual harassment, racial discrimination, and other detrimental misconduct. We assess whether litigation involvement could remedy these issues and improve shareholder value.

Learn more about BLB&G's [Corporate Governance & Shareholder Rights Practice](#).

## **Industry-Leading Results**

BLB&G has achieved over \$40 billion in recoveries for investors—more than any other shareholder plaintiffs firm. With a proven industry-standard approach to portfolio monitoring, we have the in-house expertise, technology, and track record to help you safeguard your investments and meet your fiduciary obligations.

## **Why Monitoring Matters**

*Fiduciary Protection:* Being aware of misconduct affecting fund investments is a best practice and provides you with the information to make the most informed decisions for your fund.

*Active or Passive Recovery:* In some instances, clients can benefit from recoveries without becoming actively involved in litigation—our monitoring alerts you to these opportunities.

*Global Reach:* International securities litigation can be complex and intimidating, yet funds can benefit substantially from these actions. We help you navigate these global matters.

## **Contact Us**

[Contact us](#) today to learn how our portfolio monitoring and case evaluation services can protect your fund's assets and ensure you're ready to take action when it matters most.